



# **BIOSAIC RESEARCH PROGRAM**

## **INTEGRATED TRANSLATIONAL STUDIES IN THE MOSAIC TRIAL**

**PRINCIPAL INVESTIGATOR: GERCOR IRC**

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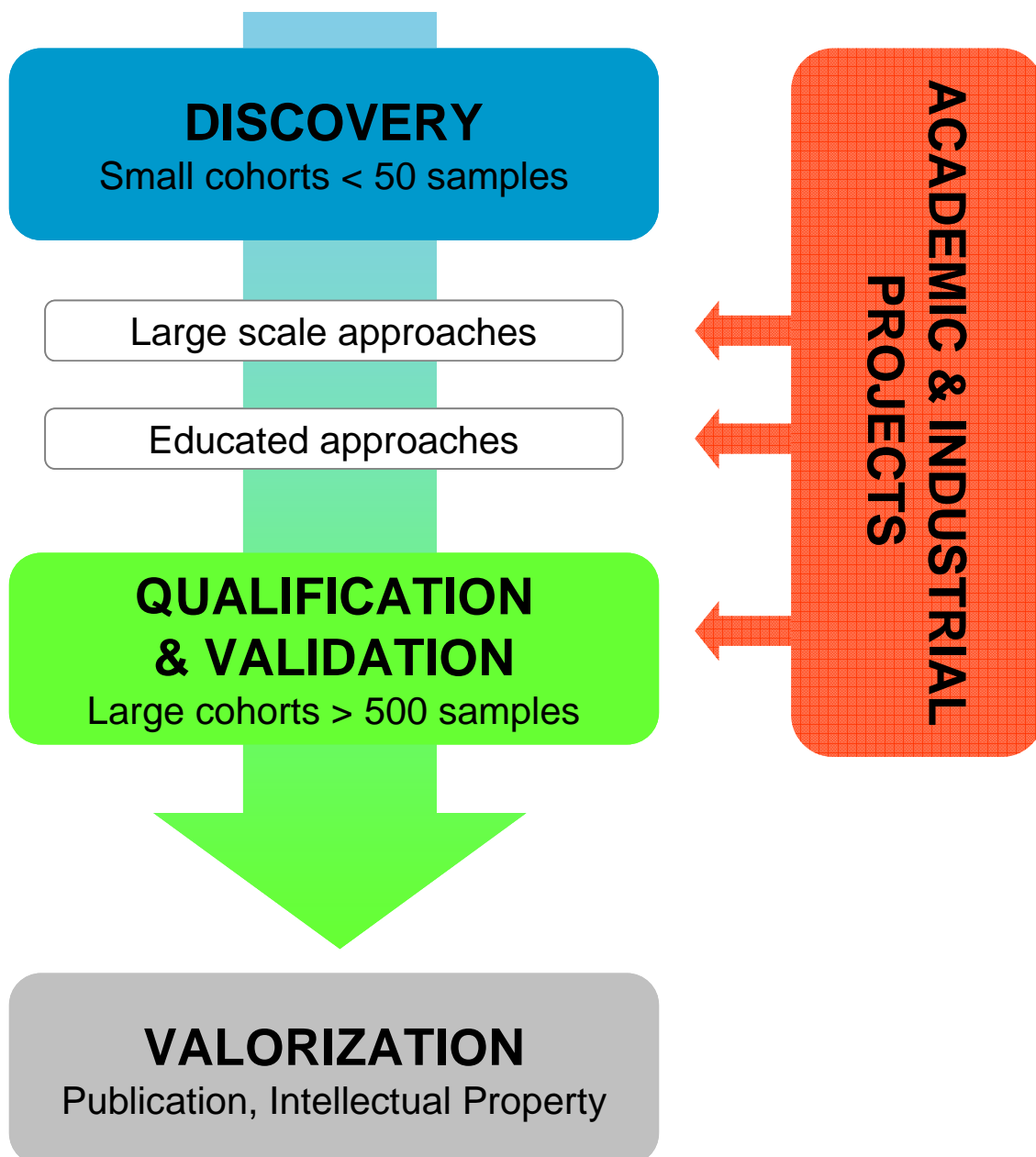
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# BIOSAIC RESEARCH PROGRAM

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## I. INTRODUCTION

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### I.1 ADJUVANT TREATMENT IN COLORECTAL CANCER

Colorectal carcinoma (CRC) is the third most common cancer in both men and women, and it is the second leading cause of cancer death in Western countries (American cancer society, 2009). Patients with high risk stage II and stage III colon cancer that have been resected and potentially cured (50% of all colon cancer patients) will receive an adjuvant treatment to complete the surgical intervention. Since 2004, **three trials have confirmed the benefit of adding oxaliplatin to fluoropyrimidine based adjuvant chemotherapy:**

- the MOSAIC (Multicenter International Study of Oxaliplatin/5-Fluorouracil/Leucovorin) trial that recruited 2246 patients with stage II or III colon cancer and led to the approval of FOLFOX4 as adjuvant therapy after surgery in patients with stage III colon cancer [1].

- the NSABP (National Surgical Adjuvant Breast and Bowel Project) trial C-07 which evaluated the FLOX regimen (oxaliplatin added to a weekly bolus of 5FU/LV) in 2492 stage II and III colon cancer patients [2].

- the NO16968 trial, which evaluated the XELOX (capecitabine and oxaliplatin) regimen in 1886 patients [3].

Other trials evaluating irinotecan based chemotherapy or adding targeted therapies such as cetuximab (NO147 and PETACC-8 trials) and bevacizumab (NSABP C-08 and AVANT trials) to chemotherapy failed to demonstrate an advantage over the FOLFOX4 regimen. These recent failures in large size trials (close to 10 000 patients total for these unsuccessful trials) will certainly delay future prospective trials in this indication. For this reason and the constant improvement of personalized medicine i.e. adapting treatments to the patients that will benefit from the therapy, **we urgently need validated prognostic and predictive biomarkers in the management of adjuvant treatment in CRC.**

The **BIOSAIC Research Program** was set up to accelerate prognostic and predictive biomarkers discovery and validation in adjuvant colorectal cancer

### I.2 PROGNOSTIC AND PREDICTIVE BIOMARKERS

In colon cancer, tumor extension, the number of involved lymph nodes as well as the number of examined lymph nodes, are the most potent prognostic factors [4-7]. A brief review of the literature show that addition of the genetic/epigenetic modification



phenotypes (CIN: chromosomal instability vs CIMP: CpG island methylator phenotype), loss of DNA mismatch repair (MMR) gene expression (MSI: microsatellite instability) [5, 8-10], KRAS and BRAF mutations [11, 12], high p53, VEGF and CXCR4 expression [10, 13, 14], loss of SMAD4, Cbx7 and 18q locus heterozygosity [15, 16], gene signature (Oncotype DX) [17], may help to better define the patient sub-populations that may benefit from adjuvant therapy. A recent analysis of the NASPB C-07 trial showed that BRAF mutated tumors were associated with poor overall survival (OS) and poor survival after recurrence (SAR) but not with disease free survival (DFS). BRAF mutations were also associated with MMR-deficient tumors but, in contrast to BRAF mutations, MMR-deficient tumors were associated with improved DFS, OS and SAR. MMR-proficient and BRAF mutant tumors displayed the worst prognosis. All the other mutations analyzed, KRAS, NRAS, MET and PIK3CA were not associated with DFS, OS or SAR. As well, neither MMR, nor mutations were associated with oxaliplatin benefit [18].

Epigenetic alterations, such as gene methylation, have also been reported to be involved in the CRC pathogenesis [19]. In a recent study among 4 different cohorts of mCRC or primary rectal cancer undergoing surgery or chemotherapy, authors identified hypermethylated TFAP2E in 39% of mCRC and in 45% of primary rectal cancer. In all groups, hypermethylation was strongly associated with a lack of response to chemotherapy (FOLFIRI +/- cetuximab, FOLFOX) or chemoradiation ( $p < 0.001$ ) [20]. As a target of TFAP2E, DKK4 overexpression has previously been implicated in fluorouracil resistance in colon cancer [21-22]. Authors suggest that TFAP2E gene inhibition through hypermethylation, and thus DKK4 overexpression may be potential negative predictive biomarkers of chemotherapy resistance in general.

A recent Korean retrospective study among 322 patients with stage II/III colorectal cancer who received FOLFOX as adjuvant chemotherapy showed that the concurrent methylation of NEUROG1 and CDKN2A (p16) genes was associated with poor (DFS) [23].

A comprehensive study of genetic and epigenetic modifications in colon and rectal cancer has been recently published by the Cancer Genome Atlas Network [24]. However, due to its recency this study lacks the possibility to correlate the genetic and epigenetic observations with response or survival parameters.

The goal of the **BIOSAIC Research Program** is to uncover and validate new biomarkers as part of new or running translational discovery programs from academic or industry



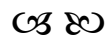


The following work packages (WP) are prerequisite to initiate any study projects. Discovery, qualification and validation studies need to be evaluated and prioritized to ensure their realization and optimized their potentials.

## II. BIOSAIC LIBRARIES AND PRELIMINARY EVALUATIONS

### II.1 WP1: CONSTITUTION OF A TISSUE MICROARRAY (TMA) LIBRARY

To this date, tissue microarrays have been set up from 800 paraffin embedded tumor samples. This work has been realized in the pathology department of Saint-Antoine hospital under the supervision of Pr FLEJOU.



### II.2 WP2: CONSTITUTION OF DNA AND cDNA LIBRARIES

In order to allow the implementation of research projects on the MOSAIC trial tumor blocks, it is necessary to extract genomic DNA as well as RNA converted in cDNA to implement libraries from which projects will be executed. This work will be done by AAREC (Association d'Aide à la Recherche et l'Enseignement en Cancérologie) in Beaujon hospital .

#### *DNA extraction*

The paraffin-embedded tissues will be sliced and deparaffinized. The DNA extraction will be automatically done using QIAcube (QIAGEN) after the manufacturer instructions, using QiaAmp DNA FFPE Tissues kit (Qiagen).

#### *RNA extraction and cDNA conversion*

The paraffin-embedded tissues will be sliced and deparaffinized. The RNA extraction will be automatically done using QIAcube (QIAGEN) after the manufacturer instructions, using RNeasy FFPE Tissues kit (Qiagen). Extracted RNA will then be converted into cDNA by reverse transcription.

#### *Storage & availability*

DNA and cDNA libraries will be stored in Beaujon hospital and available upon request.



### II.3 WP3: PRELIMINARY EVALUATIONS

All tumors will be analyzed for their microsatellite status using immunohistochemistry against MSH1, MLH2, MSH6 and PMS2. This work will be realized by Dr Alex Duval



and Pr Jean François Flejou. Mutation analyses of different genes (KRAS, BRAF, NRAS, PI3KCA...) will be realized through academic platforms in either St Antoine, Bichat or Beaujon (AAREC) hospitals. In collaboration with the NSABP, we will first start with identification of the BRAF mutations, in order for the NSABP to realize a meta-analysis on the NSABP-C07 and MOSAIC trials and definitively validate MMR/BRAF patients' prognostics.

### III. DISCOVERY STUDIES

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#### II.1 STUDY 1: **EPIGENETIC MODIFICATION** AS POTENTIAL PROGNOSTIC BIOMARKERS AND PREDICTIVE BIOMARKERS OF CHEMOTHERAPY EFFICACY

**Objectives:** To identify epigenetic modification differences between early and late recurrence among the two arms and to identify epigenetic modification differences that would correlate with response to chemotherapy especially oxaliplatin.

**Methods:** We plan to use the Infinium Methylation Assay from Illumina on 40 samples, 20 from each arm (5-FU and FOLFOX4), representative of patients with early and late cancer recurrence. This experimentation will be done through research collaboration.

**Estimated delay:** 6 months

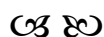


#### II.2 STUDY 2: **GENE EXPRESION** TO UNCOVER POTENTIAL PROGNOSTIC BIOMARKERS AND PREDICTIVE BIOMARKERS OF CHEMOTHERAPY EFFICACY

**Objectives:** To identify gene that may be differentially expressed between early and late recurrence patients groups among the two arms and that may correlate with response to chemotherapy especially oxaliplatin. The second objective is to identify genetic modification differences in the above groups.

**Methods:** Gene expression and sequencing screening will be done using the RNAseq technology that allows deep sequencing and quantitative evaluation of gene expression. This experimentation will be done through research collaboration.

**Estimated delay:** 6 months



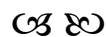


### II.3 STUDY 3: **MUTATION SCREENING** TO UNCOVER POTENTIAL PROGNOSTIC BIOMARKERS AND PREDICTIVE BIOMARKERS OF CHEMOTHERAPY EFFICACY

**Objectives:** To identify genetic mutations that may discriminate early and late recurrence among the two arms and to identify epigenetic modification differences that would correlate with response to chemotherapy especially oxaliplatin.

**Methods:** Mutations screening will be done in an educated guess manner on a predefined set of genes. We plan to use the Ion AmpliSeq™ Ready-to-Use or Custom Panels (Life Technologies).

**Estimated delay:** 3 months



## III. QUALIFICATION & VALIDATION STUDIES

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### III.1 STUDY 4: VALIDATION OF TFAP2E METHYLATION AS A PREDICTIVE BIOMARKER OF RESISTANCE TO CHEMOTHERAPY

The study is aimed at confirming that the hypermethylation status of TFAP2E can be predictive of chemotherapy resistance.

**Objectives:** To test if the methylation status of TFAP2E, p16, NEUROG1, LIFR and LINE-1, as well as the MSI status, correlate with 3-year DFS, OS, treatment and any pathological data (stage, number of examined/positive lymph nodes, differentiation...).

**Methods:** DNA samples from the MOSAIC trial will be treated with the EpiTect Plus DNA Bisulfite Kit (QIAGEN) to convert unmethylated cytosine into uracil while methylated cytosines in CpG sequences remain unaffected. DNA methylation of the proposed genes will be analyzed using the PyroMark Q24 following the manufacturer instructions (QIAGEN).

**Estimated delay:** 4 months



## V. TIMELINES AND BUDGET

### V.1 TIMELINES

	YEAR 1				YEAR 2			
	T1	T2	T3	T4	T1	T2	T3	T4
<b>WP1: TMA library</b>								
<b>WP2: DNA &amp; cDNA libraries</b>								
<b>WP3: Preliminary experiments</b>								
<b>Discovery studies</b>								
<b>STUDY 1: Epigenetic screening</b>								
<b>STUDY 2: RNAseq</b>								
<b>STUDY 3: Mutation screening</b>								
<b>Qualification &amp; Validation studies</b>								
<b>STUDY 4: TFAP2E methylation</b>								
<b>STUDY 5: Biomarker evaluation from study 1, 2 &amp; 3</b>								

### V.2 BUDGET

	Cost/item	Current fundings
<b>WP1: TMA library</b>		
Staff (100% FTE, 6 months)		
<b>Total WP1</b>	<b>0 €</b>	Genomic Health
<b>WP2: DNA &amp; cDNA libraries</b>		
Staff (50% FTE, 2 months)	4 000 €	
DNA extraction (800 samples)	5 400 €	
RNA extraction and cDNA conversion (800 samples)	10 600 €	
<b>Total WP2</b>	<b>20 000 €</b>	None → ARCAD
<b>WP3: Preliminary experiments</b>		
Staff (100% FTE, 3 months)	10 000 €	
BRAF mutations (800 samples)	30 000 €	
<b>Total WP3</b>	<b>40 000 €</b>	None → ARCAD



<b>STUDY 1: Epigenetic screening</b>		
Research collaboration	~ 30 000 €	None
<b>Total Study 1</b>	<b>~ 30 000 €</b>	None
<b>STUDY 2: RNAseq</b>		
Research collaboration	~ 30 000 €	None
<b>Total Study 2</b>	<b>~ 30 000 €</b>	None
<b>STUDY 3: Mutation analysis</b>		
Research services	6 – 15 000 €	None
<b>Total Study 3</b>	<b>6 – 15 000 €</b>	None
<b>STUDY 4: TFAP2E methylation</b>		
Staff (25% FTE, 4 months)	4 000 €	None
FFPE conversion (800 samples)	5 600 €	None
CpG methylation assay (2 genes in duplicate on 800 samples)*	40 000 €	None
<b>Total Study 4</b>	<b>49 600 €</b>	None

\* cost may be reduced with statistical hypothesis

### Request for ARCAD support

This is a step by step project. The first step consists in WP1, WP2 and WP3. The suggested studies (Study 1-4) may be realized through ARCAD support or other funding and will be re-submitted to the ARCAD scientific board later on.

#### STEP1

WP1: done through Genomic health support

WP2: 20 000 €

WP3: 40 000 €

<b>Total requested: 60 000 €</b>
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